

Derivatives

# TRADEGUARD ACCESS AGREEMENT

Please send the completed form to [MS.GI@nasdaq.com](mailto:MS.GI@nasdaq.com)

1. CONTRACT PARTIES	This <b>Access Agreement</b> (hereinafter "the Agreement") is made by and between the following parties:			
1.1 AUTHORIZING MEMBER				
COMPANY NAME		MEMBER ID (MPID)	Exchange SE	MPID
CONTACT PERSON		CONTACT PHONE		
CONTACT EMAIL				

1.2 RECEIVING MEMBER				
COMPANY NAME		MEMBER ID (MPID)	Exchange SE	MPID
CONTACT PERSON		CONTACT PHONE		
CONTACT EMAIL				

2. CONTRACT SPECIFICATIONS	
Valid From Date (DD/MM/YYYY)	
<p>The Authorizing Member hereby authorizes NASDAQ to give the Receiving Member the ability to administrate the TradeGuard Service as specified below. The authorization covers both the test and the production environment of Genium INET.</p> <p>The Receiving Member will receive the authorization information/access/ability to administrate as specified below and will solely be entitled to use it in order to fulfill its obligations towards the Authorizing Member.</p> <p>The Receiving Member is authorized by the Authorizing Member to use the TradeGuard Administration Interface to administrate, directly control and update the risk limits of the PRM services configured for the Authorizing Member.</p> <p>The Genium INET TradeGuard accounts required by the Receiving Member shall be ordered separately by the Receiving Member by filling out the Genium INET TradeGuard Request Form and submitting it to Member Services.</p>	

3. SIGNATURES	
3.1 AUTHORIZING MEMBER	
Place and Date (DD/MM/YYYY)	
AUTHORIZED SIGNATURE	
Name and Title in print	
3.2 RECEIVING MEMBER	
Place and Date (DD/MM/YYYY)	
AUTHORIZED SIGNATURE	
Name and Title in print	

Authorized signatures are required in order to effectuate this form and any amendments hereto. Authorized signatures are only made by (i) person(s) holding a position as Head of Back Office/ Head of IT/Compliance Officer or (ii) person(s) having general signing authority for the member.

Please sign and return this form as a scanned version to [MS.GI@nasdaq.com](mailto:MS.GI@nasdaq.com), at least three business days prior to the planned start of service.  
 NOTE: This agreement does not constitute a request to set up Genium INET users or to perform any other technical system configurations. The Receiving Participant shall always send a separate Genium INET TradeGuard Request form to NASDAQ OMX

**Please direct inquiries to**  
**E-MAIL: [MS.GI@NASDAQ.COM](mailto:MS.GI@NASDAQ.COM) | TEL: +46 (0) 8 405 6660**

**CONFIRMATION BY NASDAQ**

SETUP BY	
Date	Signature