



FOR MORE INFORMATION, PLEASE SCAN.

FIX PORT ACCESS FORM COMMODITIES

Please return this completed form to ms.gi@nasdaq.com

| | | | | | |
|----------------|--|--|------------------|----------------|------|
| COMPANY NAME | | | MEMBER ID (MPID) | Exchange NC | MPID |
| CONTACT PERSON | | | CONTACT PHONE | | |
| CONTACT EMAIL | | | | | |

| | | | | | |
|--------|-------------------------------------|-----------------------------------------------------------------|-----------------------------------------------------------------|--|--|
| ACCESS | <input type="checkbox"/> New Access | <input type="checkbox"/> Remove Access (Specify Port number) | <input type="checkbox"/> Update Access (Specify Port number) | | |
|--------|-------------------------------------|-----------------------------------------------------------------|-----------------------------------------------------------------|--|--|

| | | | | | |
|-------------|-------------------------------------|--------------------------------------|--------------------------------------|--------------------------------------|--|
| ENVIRONMENT | <input type="checkbox"/> PRODUCTION | <input type="checkbox"/> EXT. TEST 1 | <input type="checkbox"/> EXT. TEST 3 | <input type="checkbox"/> EXT. TEST 4 | |
|-------------|-------------------------------------|--------------------------------------|--------------------------------------|--------------------------------------|--|

| | | | | | |
|-----------|--------------------------------------|------------------------------------|----------------------------------------------------------------------------------------------|--|--|
| PORT TYPE | <input type="checkbox"/> Order Entry | <input type="checkbox"/> Drop Copy | <input type="checkbox"/> Consolidated Drop (Drops both orders inserted via FIX and OMNet) | | |
|-----------|--------------------------------------|------------------------------------|----------------------------------------------------------------------------------------------|--|--|

| | | | | | |
|---------------------------------------------|--------------------------------------------|-------------------------------------------|--------------------------------------------------------------------|--|--|
| TRANSACTIONS PER SECOND (Order Entry) | <input type="checkbox"/> Standard (30 TPS) | <input type="checkbox"/> Premium (60 TPS) | <input type="checkbox"/> Premium Plus (Dedicated Gateways Only) | | |
| TRADE CAPTURE REPORT (Order Entry) | <input type="checkbox"/> ON (Default) | | <input type="checkbox"/> OFF | | |
| NUMBER OF PORTS (Order Entry) | | | | | |

| | | | | |
|------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|--|--|
| DROP MESSAGES (Drop Copy) | <input type="checkbox"/> Trade Capture Report (Message Type "AE" - Equivalent to BD6, dedicated trade info that stems from the clearing engine) | <input type="checkbox"/> Trade Capture Report Ack's * (Message Type "AR" - Any kind of reject messages will NOT be seen on the drops) | | |
| | <input type="checkbox"/> Execution Report * (Message Type "8" - Equivalent to BO5, firm order book info) | | | |
| ADDITIONAL MPID ON DROP (Optional) | | | | |
| NUMBER OF PORTS (Drop Copy) | | | | |

*Only drops orders originally inserted via FIX if using standard Drop Copy- Please see Genium INET FIX Documentation for further details

| | |
|--------------------------------------------------------|--|
| ADDITIONAL INFORMATION (Port to be deleted etc.) | |
|--------------------------------------------------------|--|

Genium INET FIX Documentation

<http://www.nasdagomx.com/transactions/technicalinformation/genuminet/protocolspecifications>

Fees

To review the full fee list, please refer to the Legal Framework, Joint Appendix 7.

<http://www.nasdagomx.com/commodities/Marketaccess/legalframework>

AUTHORIZED SIGNATURE

| Date | Signature | Name and Title in print |
|------|-----------|-------------------------|
| | | |

Authorized signatures are required in order to effectuate this form and any amendments hereto. Authorized signatures are only made by (i) person(s) authorized as Contact Person(s) or (ii) person(s) having general signing authority for the member.

IN CASE OF SIGNATURE BY OTHER PERSONS THAN A CONTACT PERSON(S), PLEASE SUBMIT A COPY OF COMPANY CERTIFICATE OR SIMILAR DOCUMENT AUTHORISING SIGNATURE TOGETHER WITH CERTIFIED COPY(IES) OF OFFICIAL ID (DRIVER'S LICENSE, PASSPORT OR SIMILAR) CONTAINING SIGNATURE SPECIMENS.

INQUIRIES REGARDING THIS FORM CAN BE DIRECTED AT

E-MAIL: MS.GI@NASDAQ.COM | TEL: +46 (0) 8 405 6660

CONFIRMATION BY NASDAQ**SETUP BY**

| Date | Signature |
|------|-----------|
| | |

VERIFIED BY

| Date | Signature |
|------|-----------|
| | |