CLEARING ACCESS FORM

COMMODITIES

Please return this completed form to ms.gi@nasdaq.com

FOR MORE INFORMATION. PLEASE SCAN.

> Please note that all information requested in the form must be provided. Any individual(s) appointed hereunder must be adequately trained and experienced to fulfill its designated function, and be familiar with the Clearing Rules and the general operations of the member vis-a-vis the Clearinghouse in respect of such function. The individual must normally be available for contact during Opening Hours. The individual(s) will be deemed generally authorized to act on behalf of the member in respect of its designated function.

COMPANY NAME		MEMBER ID (MPID)	Exchange NC	MPID
CONTACT PERSON		CONTACT PHONE		
CONTACT EMAIL				

ENVIRONMENT	☐ PRODUCTION	☐ EXT 1 (External Test Environment 1)	☐ EXT 3 (External Test Environment 3)	☐ EXT 4 (External Test Environment 4)

ACCESS	☐ New Access	☐ Remove Access (Specify Username)	☐ Update Access (Specify Username)	

CONNECTION DETAILS	☐ Internet	☐ Fixed Line / VPN (Specify Source IP)	IP:	If new IP Subnet in production, please specify Source IP

REQUESTED ACCESS	☐ Q-Port Super User (Q-Port)	☐ Q-Port Broker (Q-Port)		
	☐ CW1 Server (Clearing Workstation 1)	☐ External BO User (External Back Office)	☐ Market Data user	☐ SFTP Access (Clearing Report Service)

USER OWNER (Only Applicable for Q-Port)	
E-MAIL Software Token – E-mail Address	

NUMBER OF LICENSES (Only applicable for CW1 Server)	

When requesting the first Clearing Workstation 1 Server the member will be provided with an Admin User by Nasdaq.
Further Addition/Removal/Management of users is done by the Admin User.
Licenses dictate the maximum number of users logged in simultaneously through a single Clearing Workstation 1 Server.

NUMBER OF EXT. USERS (External BO & Market Data)	

Nasdaq Stockholm AB (Reg. No. 556383-9058) & Nasdaq ClearingAB (Reg. No. 556420-8394) • SE-105 78 Stockholm • SWEDEN • Tel. +46 8 405 60 00 • Fax + 46 8 405 60 01 • Visiting address: Tullvaktsvägen 15 • www.nasdaqomx.com

Q-Port

In Q-Port the users (dependent on user rights) are able to register contracts, view contracts, distribute contracts, confirm contracts via voice and view reports. User rights are determined by the Member via this entry form. The Admin user has all rights in Q-Port, Standard Access user has all rights except to add or amend users. Limitations to all users are administrated by the Admin user.

Any Q-Port user changes must be reported to the Exchange immediately with a new updated Clearing Access Form.

Clearing Workstation (CW1) User Guide & Software Download
http://www.nasdaqomx.com/transactions/technicalinformation/geniuminet/tradingclearingworkstation/

External BackOffice/FIX user
External BackOffice users can be used either by an OMnet or FIX based application. The Financial Information eXchange ("FIX") Protocol is a series of messaging specifications for the electronic communication of trade-related messages. More information about FIX: http://www.nasdaqomx.com/transactions/technicalinformation/geniuminet/protocolspecifications

Clearing Report Service (SFTP)
Secure File Transfer Protocol (SFTP) service for downloading of Clearing reports directly from the SFTP site. Max one per participant.

Fees
OMnet users, Q-Port users and Clearing Workstation licenses are subject to the Technical pricelist found on our Member Extranet
http://www.nasdaqomx.com/transactions/technicalinformation/technicalpricelists/

ADDITIONAL INFO (Usernames, Token IDs etc.)	

AUTHORIZED SIGNATURE OF MEMBER		APPROVAL FROM GCM *(ONLY FOR NCM APPLICANTS)*	
Date	Authorized Signature	Date	Authorized Signature
Place	Name and Title	Place	Name and Title

By signature below I/we declare that I/we am/are authorized to sign on behalf of the member identified above and that I/we have read and understood the Clearing Rules in terms of the effects of the authorization contained herein, and that the persons appointed are deemed adequately qualified to fulfill their designated functions.

By signature below I/we declare that I/we am/are authorized to sign on behalf of the member identified below and that I/we have read and understood the Clearing Rules in terms of the effects of the authorization contained herein, and that the persons appointed are deemed adequately qualified to fulfill their designated functions. The GCM is responsible for all actions taken via the NCM's connection to the Clearing System. All registration measures undertaken by the NCM will be counted for as if the actions were taken by the GCM.

Authorized signatures are required in order to effectuate this form and any amendments hereto. Authorized signatures are only made by (i) person(s) authorized as Contact Person(s) or (ii) person(s) having general signing authority for the member.

IN CASE OF SIGNATURE BY OTHER PERSONS THAN A CONTACT PERSON(S), PLEASE SUBMIT A COPY OF COMPANY CERTIFICATE OR SIMILAR DOCUMENT AUTHORISING SIGNATURE TOGETHER WITH CERTIFIED COPY(IES) OF OFFICIAL ID (DRIVER'S LICENSE, PASSPORT OR SIMILAR) CONTAINING SIGNATURE SPECIMENS.

INQUIRIES REGARDING THIS FORM CAN BE DIRECTED AT
E-MAIL: MS.GI@NASDAQ.COM | TEL: +46 (0) 8 405 6660

CONFIRMATION BY NASDAQ

SETUP BY		VERIFIED BY	
Date	Signature	Date	Signature