

# Nasdaq Nexus 12% NC™ Index (NXNS12NC™)

ELEVATOR PITCH



NASDAQ INDEX SOLUTIONS FOR INSURANCE CARRIERS

## 10 SECOND PITCH

The Nasdaq Nexus 12% Index (the “Index” or “Nexus”) is a multi-cap strategy comprised of a core allocation to the Nasdaq-100 Total Return™ Index (XNDX™) with the ability to tactically tilt towards the Nasdaq Next Generation 100 Total Return™ Index (NGXT™) or the Nasdaq-100 Mega Total Return™ Index (NDXMEGAT™) based on changes in interest rates and inflation while maintaining a volatility target of 12%.

## 30 SECOND PITCH

Nexus is designed to tilt its exposure to the underlying indexes and adapt to changing regimes in inflation and interest rates, making it ideal for investors seeking to capture potential market gains across multiple market capitalization segments.

### ↔ Access to the Nasdaq-100®

Through its underlying indexes, investors gain access to leading innovative technology and growth companies listed on Nasdaq®.

### 📁 Tactical Cap Tilts

Using a combination of historical US interest rate and inflation data, Nexus tilts towards mid-cap (NGXT) during lower periods of interest rates and inflation, or mega-cap (NDXMEGAT) during elevated periods.

### 🌀 Premier Risk Control Technology

The Index forecasts volatility trends and adjusts its equity exposure using Salt Financial's proprietary truVol® Risk Control Engine (RCE) to maintain a volatility target of 12%.

## 60 SECOND PITCH

Nexus employs a unique strategy combining three main components:

### ↔ Access to the Nasdaq-100

- Nexus delivers exposure to the Nasdaq Stock Market® via the three indexes – Nasdaq-100 Total Return Index (XNDX), Nasdaq Next Generation 100 Total Return Index (NGXT), and Nasdaq-100 Mega Total Return Index (NDXMEGAT).
- The Nasdaq-100 Index tracks the performance of 100 of the largest non-financial companies listed on the Nasdaq Stock Market. From established industry giants to up-and-coming innovators, NDX® companies collectively shape the new 21st century economy and make the index a globally recognized and comprehensive benchmark for growth-focused investors.

### 📁 Tactical Cap Tilts

- Starting with a core, large-cap allocation to XNDX, Nexus uses a Regime Signal to determine its tilt towards emerging, tech-focused stocks (NGXT) when rates and inflation are relatively low, or mega-cap stocks (NDXMEGAT) when rates and inflation are relatively high.
- The Regime Signal is a simple combination of Consumer Price Index and the 10-year US Treasury yield to determine the inflation/interest rate regime.
- Historically, larger companies with greater cash positions have proven more resilient to higher rates and inflation. When rates and inflation are low, smaller growth-oriented companies with greater borrowing needs have been able to benefit. This commonsense approach is behind Nexus' Regime Signal.

### 🌀 Premier Risk Control Technology

- The Nasdaq Nexus Index applies Salt Financial's truVol Risk Control Engine, a proprietary volatility control mechanism that uses intraday data to dynamically adjust its exposure daily. By analyzing 26x more data than traditional volatility control, truVol aims to efficiently target 12% annualized volatility.

## Disclaimer

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