Exchange Member Trader ID Groups

Please email the completed Form to ca.compliance@nasdaq.com

Date of this Form: _____

Nasdaq Canada Member identifies the following Direct Electronic Access (DEA)[1] Trader IDs as Nasdaq CXC Limited Trader ID Groups. Please attach a separate sheet with additional Trader ID information in the same format as this Form if required. An updated Form must be submitted by the Nasdaq Canada Member for any changes to the initial submission.

DEA Client Name	Trader IDs

MEMBER:	
Signature:	
Name:	
Title:	
Tel:	
Email:	

This Form is subject to the terms and conditions of the Nasdaq CXC Limited Member Agreement.

[1] As defined in National Instrument 23-103.