Regulatory Notice

Date: 30 May 2023

Nasdaq Stockholm introduces changes to the Nasdaq Stockholm Rulebook for Issuers of Exchange Traded Funds and Exchange Traded Notes

Nasdaq Stockholm AB (hereafter referred to as the “Exchange”) has conducted a review of the Nasdaq Stockholm Rulebook for Issuers of Exchange Traded Funds and Exchange Traded Notes (the “Rulebook”) to further strengthen the confidence, credibility and transparency of Nasdaq Stockholm. The review has resulted in a number of changes - material and structural changes.

As a main material change, the current Rulebook for Issuers of Exchange Traded Funds and Exchange Traded Notes will be divided into two separate rulebooks;

- Nasdaq Stockholm Rulebook for Issuers of Exchange Traded Funds; and
- Nasdaq Stockholm Rulebook for Issuers of Exchange Traded Notes

To make the rules more accessible and transparent, a number of provisions have also been subject to clarifications and codifications of current practice. Furthermore, the overall structure has been updated and clarified and the two rulebooks now include a new initial chapter with general provisions and a new chapter collecting all surveillance actions and the issuer's obligation to provide information to the Exchange in one single chapter.

For a detailed overview of the changes, please refer to the comparative table setting out the changes made in the revised Rulebook compared to current version of the rulebook.

Entry Into Force

The updated rulebooks will enter into force as of 1 July 2023.

The Rulebooks are available for download on the following website: