Memorandum

TO: Nasdaq Phlx Trading Floor Members and personnel

FROM: Dana Rutherford

DATE: September 15, 2022

RE: COVID-19 Weekly Testing Update

Nasdaq PHLX LLC (Phlx) is modifying its COVID-19 testing requirements for all Floor Participants (Floor Brokers, Market Makers, Floor Lead Market Maker, Clerks, etc.). Beginning Monday, September 19, 2022, COVID-19 weekly testing will no longer be required to access the Trading Floor. Nasdaq will continue to provide self-test kits at the Security desk on the 8th floor throughout October 2022.

Floor Participants who are feeling unwell for any reason, test positive, or who were exposed to COVID-19 should continue to stay home and follow federal and state guidelines for quarantine or isolation. Masks will continue to be optional for all Participants and guests.

If you have any questions concerning the above, please contact Dana Rutherford at 215-496-1564.