

## Application for approval as issuer on Nasdaq Stockholm AB

The Company, \_\_\_\_\_, hereby applies for approval as issuer on Nasdaq Stockholm AB under Nasdaq Stockholm's Rulebook for Issuers for Fixed Income Instruments. The issuer will apply to have instruments:

- Admitted to trading  
 Officially listed and admitted to trading

### Issuer Information

ISSUER:	
COMPANY REGISTRATION NO:	
ADDRESS:	
REGISTERED OFFICE: (COUNTRY)	

### Contact Person (Issuer)

NAME:	
JOB TITLE:	
TELEPHONE:	
E-MAIL:	

### Contact Person (Advisor)

NAME:	
JOB TITLE:	
TELEPHONE:	
E-MAIL:	

### Issuer Website

URL(s):	
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*Please note that the site (or sites) should provide the information required by the Nasdaq Stockholm's Rulebook for Fixed Income Instruments.*

## Surveillance Stockholm

**News Distributor**

COMPANY:	
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Please note that the issuer shall provide information on how the European market will be covered unless the news distributor is one of the established news distributors in Sweden.

**Issuer Details**

HOME MEMBER STATE:	
INFORMATION REGARDING ADDITIONAL LISTED FINANCIAL INSTRUMENTS:	

**Billing Information**

VAT NO:	
BILLING ADDRESS:	

\_\_\_\_\_ Date

\_\_\_\_\_ Place

\_\_\_\_\_ Authorized corporate signature

\_\_\_\_\_ Clarification of signature

**Please enclose the following:**

- Certificate of Incorporation
- Approved Prospectus (Base Prospectus or Stand Alone)
- Duly signed Undertaking(s) to follow Nasdaq Stockholm’s Rulebook for Issuers for Fixed Income Instruments (*one Undertaking per instrument class*)
- Financial Information for the last 3 years (*the latest three audited annual financial reports*)
- Information about News Distributor

**Please confirm the following:**

- Issuer has establish and maintain adequate procedures, controls and systems, including systems and procedures for financial reporting, to enable compliance with its obligation to provide the market with timely, reliable, accurate and up-to-date information in accordance with section 3 of Nasdaq Stockholm’s Rulebook for Issuers for Fixed Income Instruments.
- The issuer has routines in place to submit disclosed information to the Swedish FSA (Finansinspektionen) in accordance with Chapter 17, Securities Market Act (SFS 2007:528), if applicable.