Memorandum

TO:    Nasdaq PHLX Trading Floor Members and personnel
FROM:  Dana Rutherford
DATE:  October 16, 2020
RE:    Phlx Trading Floor Status Move to Open Restricted

Beginning **Monday, October 19, 2020**, the PHLX Trading Floor “Return to Office (RTO)” status will move to **Stage III: Open Restricted**.

**Stage III: Open Restricted** means that public transportation is no longer prohibited and that staying in a hotel no longer requires a self-quarantine of 14 days as long as the hotel location is not considered restricted by the United States (U.S.) and/or Pennsylvania (PA) state guidelines. If travel does not follow U.S. and/or PA guidelines, please quarantine for 14 days prior to returning to the Trading Floor.

There are **no** changes to maximum allowed capacity or social distancing policies with this stage change. In addition, Floor Participants must continue to wear a face covering at all times while on the Premises, other than during brief eating/drinking breaks.

There will be changes to the Aware360 daily questionnaire. The new questions that will be on the questionnaire, beginning Monday, are as follows:
If you have any questions concerning the above please contact Dana Rutherford at 215-496-1564 or dana.rutherford@nasdaq.com.