

Speaker Bios



Sara Araghi

Portfolio Manager, Franklin Advisors

Sara Araghi is a vice president, research analyst and portfolio manager for Franklin Equity Group. She specializes in the equity research and analysis of the media, cable and softline/specialty retail industries while serving as Consumer

Sector team leader. Ms. Araghi is also a portfolio manager on the Franklin Growth Opportunities Fund and FTIF Franklin U.S. Opportunities Fund (SICAV), as well as related portfolios. She is a member of the Franklin Small Cap Growth team.

Prior to this role, Ms. Araghi worked with various Franklin Equity Group strategy team leaders to construct and maintain investment portfolios for Franklin Portfolio Advisors separate account mandates including Franklin Portfolio Advisors' All Cap Growth, Mid Cap Growth and Small Cap Growth strategies. She joined Franklin Equity Group in July 2003 as an equity research associate on the consumer team. Ms. Araghi earned her B.S. in business administration and graduated with honors from the University of California, Berkeley. She is a Chartered Financial Analyst (CFA) charterholder and member of the CFA Society of San Francisco and CFA Institute.



Mohammed Baabde

Managing Director Energy Leveraged Finance, Citigroup Global Markets

Mohammed Baabde is a Managing Director in the Leveraged Finance Group. He is responsible for North American high yield and leveraged loan new issue

origination for the Energy sector. Mr. Baabde joined Citi in 2011 after starting his investment banking career at UBS in 2008. Prior to banking Mr. Baabde started his career in 2000 as a commodities trader focused on physical and futures coffee trading in various geographical locations including East Africa, India, Vietnam and Switzerland.

Since 2008, he has helped energy clients raise in excess of \$60 billion in proceeds in the high yield bond and leveraged loan markets. Mr. Baabde graduated from Franklin University Switzerland with a BA in International Relations and received an MBA from Columbia University.



Paul Bitler

Director, Citigroup Global Markets

Paul Bitler is a Managing Director in the Debt Capital Markets Group. He is responsible for North American debt new issue origination for the Energy sector. Mr. Bitler joined Smith Barney in

1992 and has over 30-years of experience in fixed income products. Mr. Bitler has established and maintained a market leading share of North American Investment Grade Energy debt issuance over the last several years.

Prior to joining the firm, Mr. Bitler worked at Bankers Trust Company in the Energy Merchant Banking Group. He was also with Drexel Burnham Lambert as an Associate in the High Yield Commercial Paper Group after beginning his career as an Analyst at Morgan Stanley in the Municipal Securities Division.

Mr. Bitler is a graduate of the University of North Carolina at Chapel Hill where he received a B.A. degree in Economics.



Ross Campbell

Director of Responsible Investing, Barrow Hanley

Mr. Campbell joined Barrow Hanley in 2017 from SRI Research Group, where he was president of the consulting firm, focused on assisting companies with their corporate disclosures and corporate

sustainability reports. Before founding the SRI Research Group, Mr. Campbell managed the sustainability and investor relations efforts for AECOM and Denbury Resources Inc. His investment career includes serving as a senior analyst for Wells Capital Management and as an investment management senior consultant for FactSet Research Systems. Mr. Campbell earned a BA from Franklin and Marshall College and an MBA from the Cox School of Business at Southern Methodist University. Mr. Campbell has also earned the Fundamentals of Sustainability Accounting credential from the Sustainability Accounting Standards Board, has completed three levels of training offered by the PRI Academy, and is versed on a number of responsible investing topics and ESG best practices.


Jocelyn Cheng

Head of Corporate Access, Capital Group

Jocelyn Cheng is head of corporate access at Capital Group, home of American Funds. She has 16 years of industry experience and has been with Capital Group for one year. Prior to joining Capital, Jocelyn worked as the global head of corporate access at Sanford Bernstein. She holds a bachelor's degree in economics from Cornell University. Jocelyn is based in Los Angeles.


Todd Coakwell

Director of Sustainability & ESG, Nutrien

Todd Coakwell is currently an experienced Director of Sustainability and ESG at Nutrien Ltd., the world's largest provider of ag crop nutrients, inputs and services. With extensive knowledge in the agricultural sector and investor communication and support, he is leading the development of Environmental, Social and Governance disclosure for Nutrien while integrating ESG processes into the organization. Todd is currently involved with the Sustainability Accounting Standards Board as a Member, Standards Advisory Group.


Joan Conley

VP and Corporate Secretary, Nasdaq

Joan Conley is Senior Vice President and Corporate Secretary of Nasdaq, Inc., responsible for the Nasdaq Global Corporate Governance Program and Global Ethics and Corporate Compliance Program. Ms. Conley also served as Managing Director of the Nasdaq Educational Foundation from 1994-2020.

Ms. Conley is a frequent advisor, writer and panelist on current and evolving public company corporate governance issues.

Prior to joining Nasdaq in 2001, Ms. Conley was the Senior Vice President and Corporate Secretary at the NASD (now FINRA) from 1994-2001 and Director of Human Resources from 1986-1994, having joined NASD as a management trainee in 1982. Ms. Conley began her career as a Research Associate/ Writer at the National Academy of Science in Washington, D.C. and Business Analyst at an advertising agency in Chicago, Illinois.

Ms. Conley holds a Bachelor's of Arts/Science with a double major in Economics and Communications from Dominican University and a Master's of Science, with honors, in Industrial & Labor Relations from Loyola University of Chicago. Ms. Conley studied Economics at the London School of Economics and the University of London.

Ms. Conley is a member of The Economic Club of Washington D.C. and the Harvard Corporate Governance Forum Advisory Council. Ms. Conley currently serves on the Board and Audit Committees of non-profit organizations in Washington D.C. and San Francisco, California.


Victor Cossel

TMT Desk Analyst, Cornerstone Macro

Victor Cossel joined Cornerstone Macro in June of 2019 as a TMT Desk Analyst. In this role, Victor provides analysis and insight on both macro and micro influences on publicly-traded TMT shares. He has nearly 20 years of experience in the Equities business starting with JP Morgan in 1999 and later serving as a Technology Sector Specialist with Morgan Stanley for six years until 2010 and held a similar Technology Specialist role with Evercore ISI for two years. Victor spent six years as an Investment Manager of VMO Capital, LLC, a firm he founded in 2011. He is a graduate of Colgate University where he received a BA in Economics.


Brian Curran

Head of Consumer Advisory Group, Nasdaq

Brian Curran is a Director and Head of Consumer Advisory Group with over 22 years' experience in Capital Markets. He began his career at Nasdaq in 2010 building out the then-new Market Surveillance team. Prior to that, he spent several years at Thomson Reuters as well as smaller boutiques during his advisory career.


Ed Ditmire

VP of Investor Relations, Nasdaq

Mr. Ditmire leads Nasdaq's Investor Relations effort, reporting to Michael Ptasznik, EVP/CFO. Ed joined the company in March of 2013, and has worked to enhance the quality of engagement with the investment community, while adapting disclosures and messaging to reflect Nasdaq's evolution to become a technology, analytics and infrastructure provider to capital markets. The dedication to providing a quality investor relations experience has been recognized by investors and analysts participating in the Institutional Investor survey, with Nasdaq's IRO and/or IR effort being named to the All-America Executive Team each year from 2014-2020.

Before his time at Nasdaq, Ed worked for 10 years in sell-side equity research for Macquarie, Fox-Pit Kelton, and Prudential Securities, where he covered capital markets industries such as Exchanges, Retail & Institutional Brokerages, Asset Managers and Risk/Analytics Providers. In 2008 Ed was named by the Wall St. Journal "Best on the Street" among sell-side stock analysts in Diversified Financial Services, and from 2011-2012 was named 3 times in the Financial Times/Stormine survey as Stockpicker and Earnings Estimator, in the Investment Services Category.

Ed has a BA in Economics from Rutgers University, an MBA from University of Notre Dame, and is a CFA Charterholder.


Lauren Elkin

*Director and Head of TMT
Advisory Services, Nasdaq*

Lauren Elkin is a Director and Head of TMT Advisory Services in Nasdaq's IR Solutions business. She has over 15 years of Capital Markets experience, advising Investor

Relations Officers and senior management on best practices, key trends, and market activity. Lauren graduated from Lafayette College with a B.A. in Business/Economics and Spanish. Lauren resides in Brooklyn with her husband and one year old son.


Adam Epstein

Founder, Third Creek Advisors

Adam J. Epstein is a former institutional investor, and, now, an advisor to CEOs and boards of small-cap companies through his firm, Third Creek Advisors, LLC. He is a key contributor to Nasdaq's Amplify small-

cap content platform, a mentor at the Nasdaq Entrepreneurial Center, and he's on the editorial advisory board of Small-Cap Institute, Inc. Mr. Epstein is the author of *The Perfect Corporate Board: A Handbook for Mastering the Unique Challenges of Small-Cap Companies* (McGraw Hill, 2012). In June 2017, *The Perfect Corporate Board* was the #1 ranked corporate governance book on Amazon.com. Mr. Epstein has been featured in print (*The Wall Street Journal* and *Bloomberg Businessweek*), on TV (*Nasdaq Livestream* and *CNN Presents*), and he has testified in front of the U.S. Securities and Exchange Commission. He earned a Juris Doctor from Boston University, and a Bachelor of Arts, cum laude, from Vassar College.


Tamar Essner

Sr. Director of Energy Capital Markets, Nasdaq

Tamar Essner is Senior Director of Energy Capital Markets at Nasdaq where she advises public and private U.S. and international oil and gas companies in the upstream, downstream and

midstream sectors. She has written monthly columns for *Oil and Gas Investor* and *Midstream Business*. Tamar is a guest commentator on the crude oil markets for *Bloomberg* and *CNBC TV* and frequently presents at industry conferences.


Denny Fish

Portfolio Manager, Janus Henderson

Denny Fish is a Portfolio Manager at Janus Henderson Investors responsible for co-managing the Global Technology strategy, a position he has held since January 2016. He also serves as a Research Analyst and

leads the firm's Technology Sector Research Team. Prior to rejoining Janus in 2016, Denny served as a technology equity

analyst and co-portfolio manager at RS Investments. From 2007 to 2014, he was an equity research analyst and co-team leader of the Janus technology research sector team. Before he was first employed by Janus in 2007, Denny was director and senior research analyst at JMP Securities covering enterprise software. Earlier in his career, he worked at Oracle Corporation as a technology sales manager.


Adena Friedman

President & CEO, Nasdaq

Adena Friedman became President and Chief Executive Officer of Nasdaq on January 1, 2017 and is a member of the Board of Directors. She brings more than 20 years of industry leadership and

expertise, and is credited with significantly shaping Nasdaq's transformation into a leading global exchange and technology solutions company with operations across six continents.

Prior to being named CEO, Adena served as President and Chief Operating Officer throughout 2016 and was responsible for overseeing all of the company's business segments with a focus on driving efficiency, product development, growth and expansion.

She rejoined Nasdaq in 2014, after serving as Chief Financial Officer and Managing Director of The Carlyle Group from March 2011 to June 2014 and playing a critical role in taking the company public in May 2012.

Before Carlyle, Adena was a key member of Nasdaq's management team for over a decade, serving in a variety of roles, including head of the company's data products business, head of corporate strategy and Chief Financial Officer. She played an instrumental role in Nasdaq's acquisition strategy, overseeing the acquisitions of INET, OMX, and the Philadelphia and Boston Exchanges. She originally joined Nasdaq in 1993 as an intern.

Adena has served as a Class B director to the Federal Reserve Bank of New York since December 2018. She was elected to the Board of Directors of FCLTGlobal, a non-profit organization that researches tools that encourage long-term investing, in January 2020.

Adena earned a Master of Business Administration from Owen Graduate School of Management, Vanderbilt University, in Nashville, Tennessee. She holds a Bachelor of Arts in political science from Williams College in Massachusetts.


Julien Gaertner

Technology Analyst, Capital Global

Julien Gaertner is an equity investment analyst at Capital Group with research responsibility for enterprise software & services and technology hardware & equipment in the U.S. and Europe, and

health care IT in the U.S. He has six years of investment experience and has been with Capital Group for eight years.

Julien began his career at Capital as a participant in The Associates Program, a two-year series of work assignments in various areas of the organization. He holds a bachelor's degree in economics and international relations from Brown University. Julien is based in San Francisco.



Monika Garg

Sr. Tech Analyst, Nicholas Investment Partners

Monika Garg, Senior Research Analyst, joined Nicholas Investment Partners in March 2019. Monika contributes to the investment team's fundamental research process as a technology specialist given her

extensive industry experience and contacts across the technology sector. Previously, Monika spent 9 years at Pacific Crest Securities as the lead analyst for vertical software companies in addition to providing coverage of the semiconductor and solar industries. She also worked for over 10 years in the semiconductor industry as a senior design engineer and team leader at Cypress Semiconductor and as a software engineer at Motorola. She earned her Bachelor of Engineering in Electronics and Telecommunications from Punjab Engineering College, a Graduate Certificate in VLSI Design and Computer Hardware from Stanford University, and an MBA with an emphasis in finance from The University of Chicago Booth School of Business. Monika has 20 years of technology experience including 11 years as a research analyst.



Nelson Griggs

President, Nasdaq Stock Exchange

Nelson Griggs is President of the Nasdaq Stock Exchange and Executive Vice President of Nasdaq's Corporate Services business. He oversees new listings and capital markets, our governance and

investor relations solutions, and global business development and relationship management with the 4,000 companies on our listing markets around the world.

He also serves as a board member of the Nasdaq Private Market, where he advises on strategy and operations.

He served as Senior Vice President of New Listings and Capital Markets in the U.S. and Asia, attracting some of the highest-profile IPOs and transfers to Nasdaq. Since joining our company in 2001, he has held in a range of leadership roles within the Listing Services unit including: Senior Vice President, Listing Services, where he was responsible for our U.S. listings business and corporate solutions sales; and Head of Asia Pacific.

Prior to joining Nasdaq, Nelson worked at Fidelity Investments and a San Francisco-based start-up. He graduated from Denison University in Granville, OH.



Addison Holmes

Head of the Western Region for the Strategic Capital Intelligence Team

Addison Holmes is the Head of the Western Region for the Strategic Capital Intelligence team at Nasdaq. In this role, Addison covers the West Coast client

accounts, communicates thought leadership, and is building out the West Coast team. She partners with clients across multiple sectors, advising clients ranging from 10M to 200B market cap and specializing in identifying high potential generalist capital in addition to sector-focused capital. Before stepping into this role, Addison was a senior analyst on the team. Prior to joining Nasdaq in 2018, Addison worked in the field of business analytics within the accounting industry. Addison is a Certified Public Accountant and is pursuing her Chartered Financial Analyst designation.



Greg Hunt

CFO, Apollo Investment Corporation

Mr. Hunt began his term as Chief Financial Officer and Treasurer of the Company in May 2012. Previously, Mr. Hunt was Executive Vice President and Chief Financial Officer for Yankee

Candle which he joined in April 2010. Prior to joining Yankee Candle, Mr. Hunt served as the Executive Vice President of Strategic and Commercial Development for Norwegian Cruise Lines from 2007 to 2009. Prior to joining Norwegian Cruise Lines, Mr. Hunt served as Chief Financial Officer and Chief Restructuring Officer of Tweeter Home Entertainment Group, Inc. from 2006 to 2007 and Chief Financial Officer and Co-Chief Executive of Syratech Corporation from 2001 to 2006. Prior to Syratech, Mr. Hunt held several senior financial leadership positions including Chief Financial Officer of NRT Inc., Culligan Water Technologies, Inc. and Samsonite Corporation. Mr. Hunt also serves as a member of the Board of Advisors for the University of Vermont School of Business. Mr. Hunt has also been a Director of Danimer Scientific, a leading developer and manufacturer of biodegradable plastic products, since June 2019. Mr. Hunt earned a bachelor's degree in accounting and finance from the University of Vermont and is a Certified Public Accountant.



Dylan James

Vice President, Nasdaq

Dylan James is a West Coast based Vice President for Nasdaq. Dylan's leads Relationship Management for Nasdaq in the Western Region and Texas, which includes some of the largest Nasdaq listed

companies in the US by Market Capitalization. Dylan has been with Nasdaq since 2007, and previously worked in Investor Relations consultancy in addition to roles at Thomson Financial

and Reuters. Dylan is a graduate of Notre Dame DeNamur University and is the former President of the San Francisco Chapter of NIRI (National Investor Relations Institute), and Current Board Member of the NIRI San Diego Chapter.



Corey Kinger

VP of Investor Relations, WW International

Corey Kinger is the Vice President of Investor Relations at WW International, Inc., formerly known as Weight Watchers. She has worked in investor relations for more than 15 years. Before joining WW,

she was a Managing Director at Brainerd Communicators, a strategic communications consultancy. She is a member of the National Investor Relations Institute (NIRI), and from 2012 to 2018 served on the Board of Directors of the New York Chapter. She currently serves on the Board of Directors for two NYC-based arts organizations: On Site Opera and NYCHORAL.



Josh Kolins

Senior Director, Head of Healthcare, Nasdaq

Joshua Kolins is the Head of Healthcare Advisory Services at Nasdaq and has over 13 years of Capital Markets experience. Josh and his team specialize in working with publicly traded

companies to deliver insights and analytics needed to understand the markets and movements within a company's investor base while also uncovering untapped investor opportunities. These insights allow companies to measure the success of IR initiatives, ensuring they are maximizing the effectiveness of the IR strategy and empowering the management team with actionable insight. He joined Nasdaq 7 years ago through the acquisition of the Thomson Reuters Corporate Solutions Business. Prior to Nasdaq, he had been with Thomson Financial and Thomson Reuters for over 6 years in various Advisory Services roles and always within the healthcare sector. Josh holds a B.S. from Binghamton University's School of Management with a dual concentration in Finance and Management Information Systems.



Rick Lacaille

Global Chief Investment Officer, State Street Global Advisors

Rick Lacaille, Executive Vice President is Global Chief Investment Officer (CIO) of State Street Global Advisors and a member of the firm's Executive Management

Group. In his role as Chief Investment Officer Rick has responsibility for all investment management activity at State Street Global Advisors, including research and trading.

Prior to his current role, Rick was Head of Global Active Equities, and previously European CIO. Before joining State Street Global Advisors in 2000, he held a wide variety of

posts in quantitative fund management and research at Gartmore Investment Management, including periods as Head of Quantitative Research and Head of Structured Equities.

Rick has a BSc (Hons) in Operational Research from Lancaster University and MSc in Econometrics from London Guildhall University.

Rick is a member of the FTSE Russell Policy Advisory Board, the MSCI Editorial Advisory Board and the Asset Management Committee of the Investment Association. He is a regular writer and broadcaster on investment issues and speaks frequently at industry conferences.



Ben Levenherz

IR Consultant & Advisor, Nasdaq

Ben Levenherz is a Lead Analyst within Nasdaq's Corporate Solutions team with over 13 years of experience in the industry. His focus has been exclusively in the Financials

sector during his entire career, ranging across sub-sectors including Insurance, Banking, Asset Management, Payment and FinTech. Ben has always had a passion for the financial markets, moving to New York immediately after graduating from Babson College to pursue a career in the industry. His deep knowledge of buy-side institutions, the evolving capital markets landscape and trading patterns make Ben a key resource for Investor Relations and C-Suite executives within the Financials sector and beyond.



Brad Loncar

CEO, Loncar Investments

Brad Loncar is a biotechnology industry investor and CEO of Loncar Investments, a firm that specializes in the creation of precision biotechnology investment

opportunities. He is the creator of two Nasdaq-listed biotechnology focused exchange-traded funds. Brad previously worked in the financial services industry at Franklin Templeton Investments where he was a member of the Management Training Program, and was appointed to serve in a Senior Advisor role at the U.S. Department of the Treasury. He currently writes biotechnology commentary at LoncarBlog.com and contributes opinion pieces to Endpoints News.



Phil Mackintosh

Service President, E&S Research, Nasdaq

Phil Mackintosh is Chief Economist and a Senior Vice President of Nasdaq, Inc. His team is responsible for a variety of projects and initiatives in the U.S.

and Europe to improve market structure, encourage capital formation, and enhance trading efficiency. Phil has 28 years of experience in the Finance industry. Prior to joining Nasdaq he was the Head of Trading Strategy & Analysis at KCG/Virtu Financial. He has also served as the Global Head of Trading

Strategy at Credit Suisse, and the Head of Index Portfolios at County Investment Management. He started his career at KPMG and is also a Chartered Accountant. This gives Phil has a unique blend of operational risk, buy-side and sell-side experience. Over the past 15 years he has published research on indexes, ETFs, market structure, trading and retail investors.



Rodney Nelson

Head of Investor Relations, CarGurus

Rodney Nelson is the Head of Investor Relations for CarGurus, the world's most trusted and transparent automotive marketplace. Prior to joining CarGurus,

Rodney spent seven years at Morningstar, most recently serving as a senior equity analyst for Morningstar Research Services LLC, a wholly owned subsidiary of Morningstar, Inc. His coverage spanned enterprise software, including mega-cap software vendors such as Microsoft and Oracle, software-as-a-service providers such as Adobe, Salesforce, Servicenow, and Workday, and other application and infrastructure software vendors. Nelson holds a bachelor's degree in economics from the University of Chicago.



Meghan O'Leary

Head of IR, Silicon Valley Bank

Meghan leads investor relations for SVB Financial Group, parent company of Silicon Valley Bank—the bank of the global innovation economy. She oversees all

aspects of SVB's relationships with investors, sell-side analysts and credit rating agencies, and is a member of SVB's ESG working committee. She was responsible for developing and driving the company's first proactive IR program and has been recognized numerous times in Institutional Investor's annual "All America Executive Team" awards. Meghan is currently president of the Silicon Valley Chapter of the National Investor Relations Institute.

Prior to heading up Investor Relations at SVB, Meghan led the company's Public Relations efforts and, before that, spent nearly a decade doing marketing, branding and public relations for high tech firms. Meghan began her career as a journalist, covering technology strategy, management and implementation for CIO Magazine and PC Week. Her articles have been cited in numerous textbooks and academic publications. She received her BA in English Literature from Smith College.



Christiane Pelz

Vice President, Investor Relations, Five Below

Christiane joined Five Below in August 2016 as Vice President, Investor Relations. She was previously Vice President, Investor Relations and Risk Management

at Shutterfly, and Vice President, Investor Relations at Safeway. She also worked in banking at Citigroup and Goldman Sachs,

and in finance at an e-commerce startup and a venture capital/mezzanine debt investor. She attended the University of Pennsylvania, where she earned her MBA in Finance from The Wharton School and an MA in International Studies from The Lauder Institute. She received a BS in Business Administration from the University of California at Berkeley.



Foli Pontillo

Nasdaq

With over 15 years of Advisory experience, Foli oversees a globally dispersed team engaging directly with the financial community on behalf of IR

professionals, senior level executives, and Boards. Foli and her team provide candid market perspectives and contextual insights to assist corporates with effectively navigating the investment landscape. The team has partnered with corporates through a number of significant events, including changes in capital deployment, restructurings, M&A, executive turnover as well as shifts in the macro, regulatory, and political environment. The team's research and analyses have aided corporates with gaining a firmer grasp of market expectations, enhancing the Company narrative, engaging more effectively with key stakeholders, and taking well-informed strategic decisions. The team's research has been featured in publications by IR Magazine, NIRI, and CNBC.



Rob Philips

Managing Director, Global Corporate Client Group, Nasdaq

Rob serves as primary Nasdaq liaison to CEOs, CFOs, Senior Executives and Board Members of public companies in the United States and Canada. Rob

coordinates multiple teams in executing Nasdaq's listing and corporate partnerships: Market Intelligence, Trading, Regulatory, Governance, Finance, Corporate Solutions, Data & Analytics, and Communications & Marketing.

Rob's responsibilities include new listings business development. He supports several multi-channel clients of Nasdaq's Listing, Trading, Market Data and Technology platforms. Rob also manages relationships with several strategic partners including investment banks, private equity firms, portfolio managers, industry groups, business incubators, and consular offices.

Rob's extensive Capital Markets experience includes Portfolio & Risk Management, Institutional Sales & Trading, Business Development, and Regulatory & Compliance.

Prior to joining Nasdaq in 2011, Rob headed Investor Relations & Business Development for Summit Private Investments, an SEC Registered Investment Advisor. He spent 10 years in institutional fixed-income trading & brokerage, in the U.S. and Canada. He started his exchange career at NYSE, first in Regulation and then in Listings.

Rob graduated Chaminade High School on Long Island, has an A.B. in Government from Hamilton College in New York, and an M.B.A. in Finance from New York University's Stern School of Business. He was a member of the U.S. Coast Guard Reserve from 1993-1997. Rob lives in New Jersey with his wife and two children. Rob is a competitive U.S. Masters swimmer, and coaches swimming for the Special Olympics of New Jersey.



Dan Romito

Head of Corporate Advisory, Nasdaq

Dan Romito runs point on business development and product strategy for Nasdaq's Corporate Advisory business.

During his tenure at Nasdaq, he has developed several key technology solutions and services under the Advisory repertoire. Most notably, he has helped launch and commercialize Nasdaq's investor behavioral analytics platform, the ESG Advisory Service, Insight360 Analytics module, the Activist Diagnostic, Capital Deployment Scenario Analysis, Advisory's Small Cap Targeting Service and the Biotech Targeting Program. He is also the former head of the Strategic Capital Intelligence team, a comprehensive behavioral investor-targeting program founded on Nasdaq Advisory's unique proprietary meetings analytics data, which he co-launched as well.

For the last five years, Dan has advised numerous global corporates, private companies and boards on optimizing and implementing ESG-related matters within their respective corporate strategy. His experience and research on the effects of Index Ownership, Shareholder Activism and ESG-focused investing has been featured within several different investment and finance panels across North America, Europe & APAC. Dan's several thought leadership pieces on ESG, Shareholder Activism and Index Investing have also appeared in a variety of periodicals, including Harvard Business Review, CNBC, Bloomberg, Global Investor Magazine and IR Magazine.

He received a BA from the University of Chicago, an MBA in Finance from DePaul University, is a Level 3 CFA Candidate, an adjunct professor at Lake Forest Graduate School of Management, and is pursuing a Master's Degree in Applied Financial Mathematics from the University of Chicago.



David Rosenbaum

Head of Investor Relations & Financial Planning & Analysis

David Rosenbaum is the head of investor relations and financial planning and analysis for Brighthouse Financial.

Brighthouse Financial is one of the largest providers of annuities and life insurance in the U.S.

In this role, Rosenbaum is responsible for maintaining relationships with the investment community, including sell and buy side analysts, as well as institutional and individual

investors, in addition to leading financial planning and analysis and expense management.

Prior to his current role, Rosenbaum was head of investor relations for Genworth Financial. Rosenbaum joined Genworth in 2003 and held roles in operations and finance before moving to investor relations in 2011. He began his career as an engineer with BASF Corporation and earned NIRI's Investor Relations Charter (IRC) credential in 2016.

Rosenbaum graduated from Clemson University with a bachelor's degree in chemical engineering, and from the University of Virginia's Darden School of Business with a master's degree in business administration.



Jordan Saxe

Senior Managing Director, Head of Healthcare, Nasdaq

Jordan B. Saxe is Senior Managing Director, Listing Services at Nasdaq. He is responsible for leading business development efforts in the healthcare

sector, and brings over thirteen years of experience within the exchange field. He has advised over 200 IPOs and has worked closely with venture capital, private equity and investment firms to educate them on the liquidity options Nasdaq can provide. He holds a B.S. in Business Administration from the University of Vermont.



Michael Seidenberg

Portfolio Manager & Analyst, Allianz Global Investments

Mr. Seidenberg is a portfolio manager, an analyst and a director with Allianz Global Investors, which he joined in 2009. He is a member of the Global Technology team in

the US. He has 17 years of investment industry experience.

Before joining the firm, Mr. Seidenberg worked at a number of hedge funds, including Pequot Capital, Andor Capital and Citadel Investment Group. He has also worked in the software industry and at Oracle Corporation.



Stephanie Shapiro

Executive Director, Morgan Stanley

Stephanie Shapiro is a Managing Director of Morgan Stanley and Head of Corporate Strategic Solutions for IED based in New York. Stephanie joined the Firm in

2007 as an Analyst in Fixed Income. She transitioned to IED in 2009, where she has spent most of the past 10 years in Research Sales covering hedge funds and asset managers. At the start of 2019, Stephanie was named Head of Corporate Strategic Solutions and now focuses on both Sales and strategic corporate access origination. In addition to her daily responsibilities in this hybrid role, Stephanie also oversees

all investment talent introduction for IED. She was named Associate in 2010, Vice President in 2013, and Executive Director in 2016. Stephanie graduated from Bowdoin College in 2007 with a BA in English.



Josh Schimmer

Senior Managing Director, Evercore

Josh Schimmer is a Senior Managing Director on Evercore ISI's Biotech team, focusing on small, mid, and select large-cap biotechnology companies. Dr.

Schimmer was ranked #1 in Institutional Investor's All America Research Team for SMID Biotech in both 2018 and 2019 and was runner up in 2015 and 2016. Dr. Schimmer has 14 years of biotechnology research experience, previously at Piper Jaffray, Lazard Capital Markets, Leerink Partners, and Cowen & Company. In addition, he spent two years on the buy side at Davidson Kempner.

Dr. Schimmer received his Medical Degree and subspecialty training in Internal Medicine and Rheumatology from the University of Toronto and a Master's of Business Administration from Harvard Business School.



Arvind Sood

Vice President of Investor Relations, Amgen

Arvind brings several years of domestic and international pharmaceutical industry experience to Amgen. He is currently the Vice President of Investor Relations for

Amgen. Prior to joining Amgen, he served as Senior Vice President of Investor Relations and Financial Communications for Aventis S.A. (now Sanofi) in Paris and Strasbourg, France, where he was responsible for overseeing global investor relations and financial communications activities for the company.

Prior to his tenure at Aventis, Arvind worked at The Upjohn Company of Kalamazoo, Michigan and held positions in sales, marketing and investor relations.

Arvind holds a Bachelor's degree in Business Administration (BBA) from Western Michigan University, and received his Master's in Business Administration (MBA) from the Graduate School of Business at Central Michigan University.



Michael Spector

Managing Director, Nasdaq

Michael is responsible for managing relationships with Nasdaq listed companies in the South Central and South Western U.S. Additionally, Michael

partners with private companies to help them access and navigate the public markets, supporting them from Initial Public Offering and beyond.



Michael Stiller

Global Head of Nasdaq Strategic Capital Intelligence, Nasdaq

Mike Stiller is the Global Head of Nasdaq's Strategic Capital Intelligence practice. He leads a global team that partners with public and private companies on ways

to create value and effectively navigate the capital markets. Value Creation engagements can range from advice during capital raises, investor outreach guidance, capital allocation optimization, M&A/spin analysis, index inclusion/exclusion scenario analysis and support integrating ESG topics into a company's story. Previous to Nasdaq, Mike spent time on the buy-side at a technology, media and telecom (TMT) hedge fund and a macroeconomic volatility arbitrage fund.



Dylan Tornay

Managing Director, Energy, Power, Transportation, and Renewables, Citigroup Global Markets

Dylan Tornay is a Managing Director in the Equity Capital Markets Group. He is responsible for North American equity

and equity-linked new issue origination for the Energy, Power, Transportation and Renewable Energy sectors. Mr. Tornay joined Citi in 1998 and has spent his entire career working with energy companies. Mr. Tornay started his career in the Energy Investment Banking Group and then joined Equity Capital Markets in 2000. Since 2007, he has helped energy and power clients raise in excess of \$175 billion in proceeds in IPO, equity and equity-linked offerings. Mr. Tornay graduated from Yale University with Distinction with a BA in Political Science.



Stephen Vargas

ESG Advisory, Nasdaq

Steve Vargas is an ESG Advisory Analyst who has helped lead ESG reporting and investor targeting efforts for companies located in North America, Europe &

APAC. Prior to Nasdaq, he worked at Thomson Reuters' Global Business Consulting practice and at Bloomberg in their data analytics division. He holds the SASB FSA credential.



Rachel Webb

Vice President, Investor Relations & Strategic Analysis, Jack in the Box

Rachel Webb is currently Vice President, Investor Relations and Strategic Analysis at Jack in the Box Inc., where she leads the Investor Relations, Sales Analytics,

and Consumer Insights teams. She has been in the restaurant industry for over nine years, and with Jack in the Box Inc. for the past five years.


Lisa Wheatley

Partner & Portfolio Manager, Nicolas Investment Partners

Lisa Wheatley, Partner, Senior Research Analyst and Portfolio Manager, joined the firm in February 2014. Lisa is the lead portfolio manager for Healthcare

Opportunities. She also contributes to the investment team's fundamental research and due diligence process as a specialist in the healthcare sector, given her extensive experience and contacts in medical devices, genetic testing and biotech. Previously, Lisa spent five years as Co-Head of M&A at Life Technologies Corporation, a NASDAQ-listed life science company with \$14 billion in market capitalization, later acquired by Thermo Fisher Scientific. In this role, she was responsible for analysis and recommendations of potential mergers, acquisitions, divestitures, equity investments and other strategic partnerships for the management team thereby gaining valuable insight in the healthcare sector, especially genetic testing, drug discovery and development, and medical devices.

Prior to that she was an Investment Analyst and Deal Originator at Silver Point Capital, an Associate at Tennenbaum Capital Partners, and an Associate with Oaktree Capital Management's high yield research team. She started her career as an Analyst in J.P. Morgan's Leveraged & Syndicated Finance Group. She earned a B.A. in Economics and a B.S. in Business Administration with a Finance concentration from the University of Richmond. Lisa has 19 years of investment experience.


Clarissa Willett

Clarissa Willett, VP, IR, Laboratory Corporation of America Holdings

Clarissa Willett is Vice President of Investor relations for Laboratory Corporation of America Holdings. Prior to her employment at LabCorp she was the CFO and Senior

Vice President for PAML LLC and Ventures, a company owned by Providence St. Joseph Health and Catholic Healthcare Initiatives. PAML was acquired by LabCorp in 2017 at the same time Ms. Willett joined LabCorp.

Ms. Willett has 30 years of experience in progressive financial roles and has focused her last 20 years in the healthcare sector, in particular, diagnostics and life sciences.

Ms. Willett holds a Bachelor of Science in Accounting. She has been an active participant in several boards and has been a mentor for GONZAGA University Emerging Leaders program. Although a San Diego native, she currently resides in North Carolina and enjoys spending time with family and friends.


Mark Yockey

Portfolio Manager, Artisan Partners

Mark L. Yockey, CFA, is a managing director of Artisan Partners and a portfolio manager on the Global Equity team. In this role, he is a portfolio manager for the Artisan

Non-U.S. Growth and Global Equity Strategies, including Artisan International and Global Equity Funds.

Prior to joining Artisan Partners in December 1995, Mr. Yockey was the portfolio manager of the United International Growth Fund and vice president of Waddell & Reed from January 1990 through December 1995. Before assuming responsibility for the United International Growth Fund, Mr. Yockey was an analyst for Waddell & Reed from 1986 through 1989, specializing in the worldwide health care industry and international special situations. Earlier in his career, he was a health care analyst for the State of Michigan Retirement Fund for five years. Mr. Yockey holds a bachelor's degree and a master's degree in finance from Michigan State University. He completed one year of undergraduate work at the Université d'Aix-en-Provence in France. He also worked for the French bank, La Société Générale. He is fluent in French.