

## List of Non-Compliant Companies as of Nov 19, 2009

Each trading day, NASDAQ publishes a list of companies that are non-compliant with its continued listing standards. In the event of a bankruptcy, a company is added to the list two business days after notification by NASDAQ. In all other instances of non-compliance, including a delinquent or otherwise incomplete filing a company is added to the list five business days after notification. Companies are removed from the list one business day after NASDAQ determines that it has regained compliance, or the company no longer trades on NASDAQ.

Investors seeking further information may view the Company's filings on the SEC's and/or the company's website. NASDAQ's continued listing standards can be viewed at: [http://www.nasdaq.com/about/nasdaq\\_listing\\_req\\_fees.pdf](http://www.nasdaq.com/about/nasdaq_listing_req_fees.pdf)

Issuer Name	Deficiency	Market	Notification Date
A.P. Pharma, Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	APPA		
A.P. Pharma, Inc.	Mkt Value/Equity/Net Income	NCM	04/02/2009
Affected Issue(s):	APPA		
Aastrom Biosciences, Inc.	Bid Price	NCM	12/20/2007
Affected Issue(s):	ASTM		
Advanced Environmental Recycling Technologies, I	Bid Price	NCM	12/21/2007
Affected Issue(s):	AERT		
Advanced Environmental Recycling Technologies, I	Mkt Value/Equity/Net Income	NCM	04/02/2009
Affected Issue(s):	AERT		
Air T, Inc.	Board Independence	NCM	07/13/2009
Affected Issue(s):	AIRT		
Alanco Technologies Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	ALAN		
AltiGen Communications, Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	ATGN		
Altus Pharmaceuticals Inc.	Bankruptcy/Litigation	NCM	11/12/2009
Affected Issue(s):	ALTU		
Altus Pharmaceuticals Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	ALTU		
Altus Pharmaceuticals Inc.	Delinquent (09/30/2009 10Q)	NCM	11/12/2009
Affected Issue(s):	ALTU		
Ambassadors International, Inc.	Bid Price	NGM	11/12/2009
Affected Issue(s):	AMIE		
American Claims Evaluation, Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	AMCE		

<b>Issuer Name</b>	<b>Deficiency</b>	<b>Market</b>	<b>Notification Date</b>
AmericanWest Bancorporation	Bid Price	NGS	09/15/2009
Affected Issue(s):	AWBC		
Amerityre Corporation	Bid Price	NCM	09/16/2009
Affected Issue(s):	AMTY		
Amerityre Corporation	Mkt Value/Equity/Net Income	NCM	10/05/2009
Affected Issue(s):	AMTY		
Analysts International Corporation	Bid Price	NGM	09/15/2009
Affected Issue(s):	ANLY		
Anesiva, Inc.	Bid Price	NGM	09/17/2009
Affected Issue(s):	ANSV		
Anesiva, Inc.	Audit Committee Composition	NGM	12/17/2008
Affected Issue(s):	ANSV		
Anesiva, Inc.	Change of Control Combination	NGM	08/20/2009
Affected Issue(s):	ANSV		
Anesiva, Inc.	Equity	NGM	03/27/2009
Affected Issue(s):	ANSV		
Applied Energetics, Inc	Bid Price	NGM	09/17/2009
Affected Issue(s):	AERG		
Arrowhead Research Corporation	Bid Price	NCM	09/15/2009
Affected Issue(s):	ARWR		
Atrinsic, Inc.	Board Independence	NGM	10/20/2009
Affected Issue(s):	ATRN		
Atrinsic, Inc.	Audit Committee Composition	NGM	10/20/2009
Affected Issue(s):	ATRN		
Autobytel Inc.	Bid Price	NGM	09/15/2009
Affected Issue(s):	ABTL		
B.O.S. Better Online Solutions	Bid Price	NCM	09/16/2009
Affected Issue(s):	BOSC		
Bakers Footwear Group, Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	BKRS		
Bank of Granite Corporation	Bid Price	NGS	11/12/2009
Affected Issue(s):	GRAN		
Beacon Power Corporation	Bid Price	NCM	09/16/2009
Affected Issue(s):	BCON		

<b>Issuer Name</b>	<b>Deficiency</b>	<b>Market</b>	<b>Notification Date</b>
Bioanalytical Systems, Inc.	Market Value of Publicly Held Shares	NGM	09/16/2009
Affected Issue(s):	BASI		
Bionovo, Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	BNVI		
Blue Dolphin Energy Company	Bid Price	NCM	09/16/2009
Affected Issue(s):	BDCO		
Bonso Electronics International, Inc.	Market Value of Publicly Held Shares	NGM	09/15/2009
Affected Issue(s):	BNSO		
California Coastal Communities Inc	Bankruptcy/Liquidation	NGM	10/28/2009
Affected Issue(s):	CALC		
Capital Crossing Preferred Corporation	Audit Committee Composition	NGM	01/14/2009
Affected Issue(s):	CCPCN		
Charles & Colvard Ltd	Bid Price	NGS	08/18/2008
Affected Issue(s):	CTHR		
China Cablecom Holdings, Ltd.	Bid Price	NCM	09/29/2009
Affected Issue(s):	CABL		
ChipMOS TECHNOLOGIES (Bermuda) LTD.	Bid Price	NGS	09/16/2009
Affected Issue(s):	IMOS		
Chyron Corporation	Audit Committee Composition	NGM	10/06/2009
Affected Issue(s):	CHYR		
Citizens First Bancorp, Inc.	Bid Price	NGS	10/09/2009
Affected Issue(s):	CTZN		
Citizens Republic Bancorp, Inc.	Bid Price	NGS	09/23/2009
Affected Issue(s):	CRBC		
CKX, Inc.	Board Independence	NGS	01/15/2009
Affected Issue(s):	CKXE		
Clean Diesel Technologies, Inc.	Board Independence	NCM	09/15/2009
Affected Issue(s):	CDTI		
Clean Diesel Technologies, Inc.	Audit Committee Composition	NCM	09/15/2009
Affected Issue(s):	CDTI		
Commonwealth Biotechnologies, Inc.	Mkt Value/Equity/Net Income	NCM	04/08/2009
Affected Issue(s):	CBTE		
Commonwealth Biotechnologies, Inc.	Public Interest Concern	NCM	07/31/2009
Affected Issue(s):	CBTE		

<b>Issuer Name</b>	<b>Deficiency</b>	<b>Market</b>	<b>Notification Date</b>
Commonwealth Biotechnologies, Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	CBTE		
Comstock Homebuilding Companies, Inc.	Bid Price	NCM	11/12/2009
Affected Issue(s):	CHCI		
Comstock Homebuilding Companies, Inc.	Mkt Value/Equity/Net Income	NCM	08/26/2009
Affected Issue(s):	CHCI		
Convera Corporation	Bid Price	NGM	09/15/2009
Affected Issue(s):	CNVR		
Cosi, Inc.	Bid Price	NGM	09/15/2009
Affected Issue(s):	COSI		
CRM Holdings, Ltd.	Bid Price	NGS	11/10/2009
Affected Issue(s):	CRMH		
Cyclacel Pharmaceuticals, Inc.	Equity	NGM	08/24/2009
Affected Issue(s):	CYCC		
Cyclacel Pharmaceuticals, Inc.	Bid Price	NGM	10/27/2009
Affected Issue(s):	CYCC		
DARA Biosciences, Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	DARA		
DayStar Technologies, Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	DSTI		
deCODE genetics, Inc.	Bid Price	NGM	09/15/2009
Affected Issue(s):	DCGN		
deCODE genetics, Inc.	Delinquent (09/30/2009 10Q)	NGM	11/12/2009
Affected Issue(s):	DCGN		
Dynatronics Corporation	Bid Price	NCM	06/25/2008
Affected Issue(s):	DYNT		
Encorium Group, Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	ENCO		
Encorium Group, Inc.	Mkt Value/Equity/Net Income	NCM	08/26/2009
Affected Issue(s):	ENCO		
Endologix Inc	Board Independence	NGM	06/12/2009
Affected Issue(s):	ELGX		
EnteroMedics Inc.	Market Capitalization	NGM	10/19/2009
Affected Issue(s):	ETRM		

<b>Issuer Name</b>	<b>Deficiency</b>	<b>Market</b>	<b>Notification Date</b>
EntreMed, Inc.	Bid Price	NCM	04/04/2008
Affected Issue(s):	ENMD		
Environmental Power Corporation	Bid Price	NCM	09/15/2009
Affected Issue(s):	EPG		
EpiCept Corporation	Bid Price	NCM	04/16/2008
Affected Issue(s):	EPCT		
First National Bancshares Inc (SC)	Equity	NGM	11/04/2009
Affected Issue(s):	FNSC		
Forbes Medi-Tech Inc.	Bid Price	NCM	09/19/2008
Affected Issue(s):	FMTI		
Forgent Networks Inc	Bid Price	NCM	02/04/2008
Affected Issue(s):	ASUR		
Fortress International Group, Inc.	Bid Price	NCM	09/25/2009
Affected Issue(s):	FIGI		
Fortress International Group, Inc.	Board Independence	NCM	07/22/2009
Affected Issue(s):	FIGI		
FortuNet, Inc.	Market Value of Publicly Held Shares	NGM	09/15/2009
Affected Issue(s):	FNET		
Frontier Financial Corporation	Bid Price	NGS	09/15/2009
Affected Issue(s):	FTBK		
Gallery of History, Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	HIST		
Gallery of History, Inc.	Market Value of Publicly Held Shares	NCM	09/15/2009
Affected Issue(s):	HIST		
Generex Biotechnology Corporation	Bid Price	NCM	07/23/2008
Affected Issue(s):	GNBT		
GenVec, Inc.	Bid Price	NGM	09/15/2009
Affected Issue(s):	GNVC		
GeoPharma, Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	GORX		
Glen Rose Petroleum Corporation	Audit Committee Composition	NCM	10/08/2009
Affected Issue(s):	GLRP		
Glen Rose Petroleum Corporation	Bid Price	NCM	09/22/2008
Affected Issue(s):	GLRP		

<b>Issuer Name</b>	<b>Deficiency</b>	<b>Market</b>	<b>Notification Date</b>
Globalstar, Inc.	Bid Price	NGS	10/29/2009
Affected Issue(s):	GSAT		
Granite City Food & Brewery Ltd.	Bid Price	NGM	10/20/2008
Affected Issue(s):	GCFB		
Granite City Food & Brewery Ltd.	Market Value of Publicly Held Shares	NGM	10/02/2009
Affected Issue(s):	GCFB		
GTC Biotherapeutics Inc	Mkt Value/Equity/Net Income	NCM	06/18/2009
Affected Issue(s):	GTCB		
Habersham Bancorp	Market Value of Publicly Held Shares	NGM	09/15/2009
Affected Issue(s):	HABC		
Harbin Electric, Inc.	Audit Committee Composition	NGM	08/27/2009
Affected Issue(s):	HRBN		
Harrington West Financial Group, Inc.	Market Value of Publicly Held Shares	NGM	10/27/2009
Affected Issue(s):	HWFG		
Harris Interactive, Inc.	Bid Price	NGS	09/15/2009
Affected Issue(s):	HPOL		
Hauppauge Digital, Inc.	Annual Shareholder Meeting	NGM	10/01/2009
Affected Issue(s):	HAUP		
Hauppauge Digital, Inc.	Proxy Solicitation	NGM	10/01/2009
Affected Issue(s):	HAUP		
Heelys, Inc.	Audit Committee Composition	NCM	06/10/2009
Affected Issue(s):	HLYS		
Helios & Matheson North America Inc.	Mkt Value/Equity/Net Income	NCM	08/12/2009
Affected Issue(s):	HMNA		
Heska Corporation	Bid Price	NCM	10/21/2008
Affected Issue(s):	HSKA		
Hiland Holdings GP, LP	Audit Committee Composition	NGS	01/27/2009
Affected Issue(s):	HPGP		
Hollis-Eden Pharmaceuticals, Inc.	Bid Price	NGM	09/15/2009
Affected Issue(s):	HEPH		
Horizon Financial Corp.	Bid Price	NGS	11/06/2009
Affected Issue(s):	HRZB		
HSW International, Inc.	Bid Price	NGM	09/16/2009
Affected Issue(s):	HSWI		

<b>Issuer Name</b>	<b>Deficiency</b>	<b>Market</b>	<b>Notification Date</b>
Hydrogenics Corporation	Bid Price	NGM	09/29/2009
Affected Issue(s):	HYGSD		
Hythiam, Inc.	Bid Price	NGM	09/15/2009
Affected Issue(s):	HYTM		
Hythiam, Inc.	Equity	NGM	05/14/2009
Affected Issue(s):	HYTM		
Icagen, Inc.	Bid Price	NGM	11/12/2009
Affected Issue(s):	ICGN		
ICO Global Communications Holdings Limited DE	Bid Price	NGM	09/16/2009
Affected Issue(s):	ICOG		
ICOP Digital, Inc.	Bid Price	NCM	09/25/2008
Affected Issue(s):	ICOP		
iGo, Inc	Audit Committee Composition	NGM	10/23/2009
Affected Issue(s):	IGOI		
Image Entertainment, Inc.	Bid Price	NGM	09/15/2009
Affected Issue(s):	DISK		
Image Entertainment, Inc.	Market Value of Publicly Held Shares	NGM	09/15/2009
Affected Issue(s):	DISK		
Immersion Corporation	Delinquent (06/30/2009 10Q)	NGM	08/12/2009
Affected Issue(s):	IMMR		
Immersion Corporation	Delinquent (09/30/2009 10Q)	NGM	11/11/2009
Affected Issue(s):	IMMR		
InfoLogix, Inc.	Mkt Value/Equity/Net Income	NCM	08/19/2009
Affected Issue(s):	IFLG		
InfoLogix, Inc.	Bid Price	NCM	09/16/2009
Affected Issue(s):	IFLG		
Insmmed, Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	INSM		
inTest Corporation	Bid Price	NCM	09/15/2009
Affected Issue(s):	INTT		
Irvine Sensors Corporation	Bid Price	NCM	09/15/2009
Affected Issue(s):	IRSN		
Jones Soda Co.	Bid Price	NCM	09/15/2009
Affected Issue(s):	JSDA		

<b>Issuer Name</b>	<b>Deficiency</b>	<b>Market</b>	<b>Notification Date</b>
Koss Corporation	Public Float	NGM	09/10/2009
Affected Issue(s):	KOSS		
La Jolla Pharmaceutical Company	Bid Price	NCM	09/15/2009
Affected Issue(s):	LJPC		
Lantronix, Inc.	Bid Price	NCM	12/26/2007
Affected Issue(s):	LTRX		
Leading Brands Inc	Bid Price	NCM	06/13/2008
Affected Issue(s):	LBIX		
LML Payment Systems, Inc.	Bid Price	NCM	09/29/2009
Affected Issue(s):	LMLP		
Luna Innovations Incorporated	Bankruptcy/Liquidation	NCM	07/17/2009
Affected Issue(s):	LUNA		
Luna Innovations Incorporated	Board Independence	NCM	07/30/2009
Affected Issue(s):	LUNA		
Luna Innovations Incorporated	Audit Committee Composition	NCM	05/14/2009
Affected Issue(s):	LUNA		
Luna Innovations Incorporated	Mkt Value/Equity/Net Income	NCM	05/19/2009
Affected Issue(s):	LUNA		
MACC Private Equities Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	MACC		
Mace Security International, Inc.	Bid Price	NGM	10/09/2009
Affected Issue(s):	MACE		
Marshall Edwards, Inc.	Bid Price	NGM	09/16/2009
Affected Issue(s):	MSHL		
MDRNA, Inc.	Equity	NGM	11/06/2009
Affected Issue(s):	MRNA		
Media Sciences International Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	MSII		
MER Telemangement Solutions Ltd.	Bid Price	NCM	09/16/2009
Affected Issue(s):	MTSL		
Mesa Air Group, Inc.	Bid Price	NCM	06/18/2008
Affected Issue(s):	MESA		
Metabasis Therapeutics, Inc	Bid Price	NCM	09/15/2009
Affected Issue(s):	MBRX		

<b>Issuer Name</b>	<b>Deficiency</b>	<b>Market</b>	<b>Notification Date</b>
Metalink, Ltd.	Bid Price	NCM	08/13/2008
Affected Issue(s):	MTLK		
Metalink, Ltd.	Mkt Value/Equity/Net Income	NCM	09/29/2009
Affected Issue(s):	MTLK		
Midwest Banc Holdings, Inc.	Bid Price	NGM	09/15/2009
Affected Issue(s):	MBHI		
mktg, Inc	Delinquent (06/30/2009 10Q)	NCM	08/20/2009
Affected Issue(s):	CMKG		
mktg, Inc	Delinquent (03/31/2009 10K)	NCM	07/17/2009
Affected Issue(s):	CMKG		
National Instruments Corporation	Board Independence	NGS	05/13/2009
Affected Issue(s):	NATI		
Netlist, Inc.	Audit Committee Composition	NGM	05/29/2009
Affected Issue(s):	NLST		
Netlist, Inc.	Bid Price	NGM	09/15/2009
Affected Issue(s):	NLST		
Netlist, Inc.	Board Independence	NGM	05/29/2009
Affected Issue(s):	NLST		
Neurobiological Technologies, Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	NTII		
Neurogen Corporation	Bid Price	NGM	08/26/2008
Affected Issue(s):	NRGN		
Newtek Business Services Inc.	Bid Price	NGM	08/13/2008
Affected Issue(s):	NEWT		
NexMed, Inc.	Bid Price	NCM	10/09/2008
Affected Issue(s):	NEXM		
NexMed, Inc.	Mkt Value/Equity/Net Income	NCM	08/12/2009
Affected Issue(s):	NEXM		
NextWave Wireless Inc	Bid Price	NGM	10/07/2008
Affected Issue(s):	WAVE		
Northwest Pipe Company	Delinquent (09/30/2009 10Q)	NGS	11/12/2009
Affected Issue(s):	NWPX		
O'Reilly Automotive, Inc.	Board Independence	NGS	05/19/2009
Affected Issue(s):	ORLY		

<b>Issuer Name</b>	<b>Deficiency</b>	<b>Market</b>	<b>Notification Date</b>
Ocean Bio-Chem, Inc.	Bid Price	NCM	09/28/2009
Affected Issue(s):	OBCI		
Ohio Legacy Corporation	Bid Price	NCM	10/01/2009
Affected Issue(s):	OLCB		
Onstream Media Corporation	Bid Price	NCM	01/04/2008
Affected Issue(s):	ONSM		
OpenTV Corp.	Audit Committee Composition	NGM	10/13/2009
Affected Issue(s):	OPTV		
Orange 21 Inc.	Bid Price	NCM	09/16/2009
Affected Issue(s):	ORNG		
Ore Pharmaceutical Holdings Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	ORXE		
Orthologic Corp.	Bid Price	NGM	08/08/2008
Affected Issue(s):	CAPS		
OTIX Global, Inc	Bid Price	NGM	10/06/2009
Affected Issue(s):	OTIX		
Overland Storage, Inc.	Bid Price	NGM	10/01/2008
Affected Issue(s):	OURL		
Overland Storage, Inc.	Market Value of Publicly Held Shares	NGM	09/15/2009
Affected Issue(s):	OURL		
Pacific Ethanol, Inc.	Bid Price	NGM	09/29/2009
Affected Issue(s):	PEIX		
Pacific State Bancorp CA	Market Value of Publicly Held Shares	NGM	09/15/2009
Affected Issue(s):	PSBC		
Pacific State Bancorp CA	Bid Price	NGM	11/10/2009
Affected Issue(s):	PSBC		
Park Bancorp, Inc.	Market Value of Publicly Held Shares	NGM	11/06/2009
Affected Issue(s):	PFED		
PC Group, Inc	Market Value of Publicly Held Shares	NGM	10/03/2008
Affected Issue(s):	PCGR		
PC Group, Inc	Bid Price	NGM	10/03/2008
Affected Issue(s):	PCGR		
Pet DRx Corporation	Audit Committee Composition	NCM	07/31/2009
Affected Issue(s):	VETSU VETSW VETS		

<b>Issuer Name</b>	<b>Deficiency</b>	<b>Market</b>	<b>Notification Date</b>
Pet DRx Corporation	Bid Price	NCM	09/15/2009
Affected Issue(s):	VETS		
PhotoMedex, Inc.	Bid Price	NGM	09/16/2009
Affected Issue(s):	PHMD		
Pinnacle Gas Resources, Inc.	Bid Price	NGM	09/15/2009
Affected Issue(s):	PINN		
PokerTek, Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	PTEK		
Private Media Group, Inc.	Bid Price	NGM	09/15/2009
Affected Issue(s):	PRVT		
Pro-Dex, Inc.	Bid Price	NCM	09/23/2008
Affected Issue(s):	PDEX		
Progen Pharmaceuticals Limited	Bid Price	NCM	09/04/2008
Affected Issue(s):	PGLA		
Provident Community Bancshares, Inc.	Market Value of Publicly Held Shares	NGM	09/22/2009
Affected Issue(s):	PCBS		
Quantum Fuel Systems Technologies Worldwide, Ir	Delinquent (07/31/2009 10Q)	NGM	09/14/2009
Affected Issue(s):	QTWW		
Quantum Fuel Systems Technologies Worldwide, Ir	Delinquent (04/30/2009 10K)	NGM	07/31/2009
Affected Issue(s):	QTWW		
Quest Resource Corporation	Bid Price	NGM	09/16/2009
Affected Issue(s):	QRCP		
Rainier Pacific Financial Group, Inc.	Market Value of Publicly Held Shares	NGM	10/23/2009
Affected Issue(s):	RPPG		
Rainier Pacific Financial Group, Inc.	Bid Price	NGM	11/05/2009
Affected Issue(s):	RPPG		
Regent Communications, Inc.	Bid Price	NGM	08/11/2008
Affected Issue(s):	RGCI		
Reliv' International, Inc.	Board Independence	NGS	06/11/2009
Affected Issue(s):	RELV		
Repros Therapeutics Inc.	Market Capitalization	NGM	08/07/2009
Affected Issue(s):	RPRX		
Repros Therapeutics Inc.	Market Value of Publicly Held Shares	NGM	09/15/2009
Affected Issue(s):	RPRX		

<b>Issuer Name</b>	<b>Deficiency</b>	<b>Market</b>	<b>Notification Date</b>
RF Monolithics, Inc.	Bid Price	NCM	10/01/2008
Affected Issue(s):	RFMI		
Rio Vista Energy Partners L.P.	Missing SAS Review (03/31/2009 10Q)	NCM	05/28/2009
Affected Issue(s):	RVEP		
Rio Vista Energy Partners L.P.	Delinquent (06/30/2009 10Q)	NCM	08/18/2009
Affected Issue(s):	RVEP		
Rio Vista Energy Partners L.P.	Bid Price	NCM	09/16/2009
Affected Issue(s):	RVEP		
Rio Vista Energy Partners L.P.	Public Interest Concern	NCM	09/28/2009
Affected Issue(s):	RVEP		
Selectica, Inc.	Bid Price	NGM	09/15/2009
Affected Issue(s):	SLTC		
Sinoenergy Corporation	Annual Shareholder Meeting	NCM	10/09/2009
Affected Issue(s):	SNEN		
Sirius XM Radio Inc.	Bid Price	NGS	09/15/2009
Affected Issue(s):	SIRI		
SMTC Corporation	Bid Price	NGM	09/15/2009
Affected Issue(s):	SMTX		
Sonic Foundry, Inc.	Bid Price	NCM	03/10/2008
Affected Issue(s):	SOFOD		
Spanish Broadcasting System, Inc.	Bid Price	NGM	08/20/2008
Affected Issue(s):	SBSA		
Spanish Broadcasting System, Inc.	Audit Committee Composition	NGM	08/27/2009
Affected Issue(s):	SBSA		
SPAR Group, Inc.	Bid Price	NCM	09/16/2009
Affected Issue(s):	SGRP		
Speedus Corp.	Mkt Value/Equity/Net Income	NCM	10/14/2009
Affected Issue(s):	SPDE		
Star Scientific, Inc.	Bid Price	NGM	11/05/2009
Affected Issue(s):	STSI		
SteelCloud Inc.	Mkt Value/Equity/Net Income	NCM	03/23/2009
Affected Issue(s):	SCLD		
SteelCloud Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	SCLD		

<b>Issuer Name</b>	<b>Deficiency</b>	<b>Market</b>	<b>Notification Date</b>
Sun American Bancorp	Market Value of Publicly Held Shares	NGM	09/16/2009
Affected Issue(s):	SAMB		
Sun American Bancorp	Bid Price	NGM	09/16/2009
Affected Issue(s):	SAMB		
Sunesis Pharmaceuticals, Inc.	Bid Price	NCM	09/29/2009
Affected Issue(s):	SNSS		
Targeted Genetics Corporation	Mkt Value/Equity/Net Income	NCM	04/08/2009
Affected Issue(s):	TGEN		
Targeted Genetics Corporation	Bid Price	NCM	04/23/2008
Affected Issue(s):	TGEN		
TeamStaff, Inc.	Market Value of Publicly Held Shares	NGM	09/15/2009
Affected Issue(s):	TSTF		
Tegal Corporation	Audit Committee Composition	NCM	06/22/2009
Affected Issue(s):	TGAL		
Telik, Inc.	Bid Price	NGM	09/19/2008
Affected Issue(s):	TELK		
The Intergroup Corporation	Mkt Value/Equity/Net Income	NCM	10/15/2009
Affected Issue(s):	INTG		
The Management Network Group, Inc.	Bid Price	NGM	09/16/2009
Affected Issue(s):	TMNG		
The Orchard Enterprises, Inc	Market Value of Publicly Held Shares	NGM	11/12/2009
Affected Issue(s):	ORCD		
THERMOGENESIS Corp.	Bid Price	NCM	09/16/2009
Affected Issue(s):	KOOL		
TheStreet.com, Inc.	Delinquent (06/30/2009 10Q)	NGM	08/12/2009
Affected Issue(s):	TSCM		
TheStreet.com, Inc.	Delinquent (09/30/2009 10Q)	NGM	11/12/2009
Affected Issue(s):	TSCM		
Thomas Group, Inc.	Market Value of Publicly Held Shares	NGM	09/16/2009
Affected Issue(s):	TGIS		
Thomas Group, Inc.	Bid Price	NGM	09/16/2009
Affected Issue(s):	TGIS		
TierOne Corporation	Delinquent (09/30/2009 10Q)	NGS	11/11/2009
Affected Issue(s):	TONE		

<b>Issuer Name</b>	<b>Deficiency</b>	<b>Market</b>	<b>Notification Date</b>
TLC Vision Corporation	Bid Price	NGS	09/29/2009
Affected Issue(s):	TLCV		
TLC Vision Corporation	Market Value of Publicly Held Shares	NGS	09/29/2009
Affected Issue(s):	TLCV		
Top Image Systems, Ltd.	Bid Price	NCM	09/16/2009
Affected Issue(s):	TISA		
TOR Minerals International Inc	Bid Price	NCM	09/15/2009
Affected Issue(s):	TORM		
Track Data Corporation	Market Value of Publicly Held Shares	NGM	09/15/2009
Affected Issue(s):	TRAC		
TranSwitch Corporation	Bid Price	NCM	01/25/2008
Affected Issue(s):	TXCC		
Triad Guaranty Inc.	Market Value of Publicly Held Shares	NGS	11/11/2009
Affected Issue(s):	TGIC		
TRX, Inc.	Bid Price	NCM	09/29/2009
Affected Issue(s):	TRXI		
TSR, Inc.	Market Value of Publicly Held Shares	NGM	09/15/2009
Affected Issue(s):	TSRI		
United American Healthcare Corporation	Bid Price	NCM	11/12/2009
Affected Issue(s):	UAHC		
Vaughan Foods, Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	FOOD		
Veraz Networks, Inc.	Bid Price	NGM	09/15/2009
Affected Issue(s):	VRAZ		
Vertro, Inc	Bid Price	NGM	09/15/2009
Affected Issue(s):	VTRO		
Vertro, Inc	Market Value of Publicly Held Shares	NGM	09/15/2009
Affected Issue(s):	VTRO		
VIA Pharmaceuticals, Inc.	Board Independence	NCM	03/25/2009
Affected Issue(s):	VIAP		
VIA Pharmaceuticals, Inc.	Audit Committee Composition	NCM	03/25/2009
Affected Issue(s):	VIAP		
VIA Pharmaceuticals, Inc.	Bid Price	NCM	09/15/2009
Affected Issue(s):	VIAP		

<b>Issuer Name</b>	<b>Deficiency</b>	<b>Market</b>	<b>Notification Date</b>
VIA Pharmaceuticals, Inc.	Mkt Value/Equity/Net Income	NCM	03/31/2009
Affected Issue(s):	VIAP		
Vision-Sciences, Inc.	Board Independence	NCM	11/10/2009
Affected Issue(s):	VSCI		
Waterside Capital Corporation	Bid Price	NCM	09/16/2009
Affected Issue(s):	WSCC		
Waterside Capital Corporation	Market Value of Publicly Held Shares	NCM	09/16/2009
Affected Issue(s):	WSCC		
Wave Systems Corp.	Bid Price	NCM	09/15/2009
Affected Issue(s):	WAVX		
WebMediaBrands Inc	Bid Price	NGS	09/16/2009
Affected Issue(s):	WEBM		
Wegener Corporation	Bid Price	NCM	08/21/2008
Affected Issue(s):	WGNR		
XOMA Ltd.	Bid Price	NGM	09/15/2009
Affected Issue(s):	XOMA		
Zanett Inc.	Bid Price	NCM	09/17/2009
Affected Issue(s):	ZANE		
Zhone Technologies, Inc.	Bid Price	NCM	06/11/2008
Affected Issue(s):	ZHNE		