



## Contributor profiles

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**Norman Allen****Senior managing director**

Norman Allen is senior managing director and a member of the executive team of Carpenter Moore Insurance Services, Inc. He has nearly 15 years' experience advising public and private companies on executive risk management solutions, including directors' and officers' liability insurance. In addition to advising clients as a broker, Mr Allen chairs the Carpenter Moore Claims Management Team where he is called upon to provide claims advocacy and to assist clients in negotiating through challenging claims situations. He is also a director of Carpenter Moore Insurance Services Ltd, a wholly-owned subsidiary based in London.

Mr Allen is a member of the California State Bar, the American Bar Association (ABA) and the Bar Association of San Francisco (BASF). He belongs to the ABA Tort and Insurance Practice Section, the BASF Securities Litigation Section and the BASF Commercial Law and Bankruptcy Section.

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**Alan F Denenberg****Partner, Menlo Park**

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Alan Denenberg is a member of the corporate department, practicing in the Menlo Park office. He has extensive experience in corporate finance and mergers and acquisitions. His practice includes a broad range of public and private equity and debt financing, representing both domestic and foreign issuers and underwriters in a variety of industries, including technology, telecommunications, healthcare, retail and manufacturing. In the M&A area he has worked on public and private transactions, representing both acquirer and target companies, as well as private equity firms. In the capital markets area, he has worked on public offerings, including convertible, investment-grade and high-yield debt and equity offerings. Mr Denenberg joined Davis Polk as a partner in 2000. Before joining Davis Polk, he was a partner at Shearman & Sterling in San Francisco and a managing partner of its Singapore office.

**Joseph A Hall****Partner, New York**

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Joseph Hall is a member of the corporate department, practicing in the New York office. His practice includes advising issuers and underwriters on capital markets transactions and on securities, and corporate and governance matters generally. He returned to the firm in 2005 following completion of his service at the Securities and Exchange Commission (SEC) as managing executive for policy under Chairman William Donaldson. As a member of Donaldson's senior management team, Mr Hall assisted in directing the SEC's policymaking and enforcement activities. He was an associate at Davis Polk from 1989 to 1994, rejoined the firm in 1996 and became a partner in 1999. From 1994 to 1996 he was corporate counsel to Reuters America Inc.

**Deanna L Kirkpatrick****Partner, New York**

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Deanna Kirkpatrick is a member of the corporate department, practicing in the New York office. Her practice includes public equity, equity-linked, debt and other securities offerings by US issuers. She regularly works for issuers in connection with capital markets transactions, including initial public offerings as well as convertible and exchangeable securities offerings. She also has represented major investment banks as underwriters' counsel in initial public offerings and convertible and exchangeable offerings. Ms Kirkpatrick joined Davis Polk in 1989 and became a partner in 1997.

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#### Jody Burfening

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Jody Burfening leads a team of professionals who specialise in strategic counsel for companies spanning the alternative energy, telecommunications, technology and business services and consumer goods industries. Prior to joining Lippert/Heilshorn in 1996, Ms Burfening was a business development manager at Duracell International. Previously, she was a vice president at Houlihan, Lokey, Howard & Zukin, where she managed equity valuation engagements and worked on mergers and acquisitions including WR Grace and PepsiCo. Ms Burfening earned a MBA from the Wharton School of Business. She holds an MA in international relations from the University of Chicago and a BA from Wheaton College. She is also a member of the National Investor Relations Institute.

#### Carolyn M Capaccio, CFA

##### Vice president

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Carolyn M Capaccio joined Lippert/Heilshorn in 2004 after having collaborated with the firm in a consulting capacity for more than a year. With combined Wall Street and senior financial communications experience in the practice of investor relations, Ms Capaccio advises clients in a variety of industries on financial community relations, communication of

strategic business objectives, disclosure and crisis communications. She has led her own investor relations consultancy, working on special communications projects, was an equity research analyst at Robertson Stephens, a boutique investment bank and brokerage firm, and was also vice president and group director at Morgen-Walke Associates, an investor relations firm. Ms Capaccio began her career at Prudential-Bache Securities. She earned her Chartered Financial Analyst charter in 1995 and received a BA from Rutgers College, Rutgers University, where she served as a member of the advisory board of the Henry Rutgers General Honors Program.

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#### Scott Gehsmann

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Scott Gehsmann is the leader of the PricewaterhouseCoopers' (PwC) transaction services capital markets group. The group offers extensive accounting, financial reporting

and mergers and acquisitions advisory services to US and non-US companies interested in raising capital in the US public and private capital markets, as well as those already registered with the Securities and Exchange Commission (SEC).

Mr Gehsmann has extensive global experience working with multinational companies. He headed PwC's capital markets Nordic practice for 10 years and also has experience working with Asian and South American companies. He has led numerous US and non-US businesses through successful SEC registrations.

A certified public accountant, Mr Gehsmann is a member of the American Institute of Certified Public Accountants, as well as the CPA Societies of New York and New Jersey. He holds a BBA in accounting from the College of William & Mary in Virginia.

#### Mike Dillon

##### Partner, San Francisco

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Mike Dillon leads PwC's West Coast transaction services capital markets group. Mr Dillon has extensive experience working with multinational companies on transactions including initial public offerings, spin-offs and carve-outs, primarily in the technology sector. A certified public accountant, Mr Dillon is a member of the American Institute of Certified Public Accountants, as well as the CPA Societies of New York and California. He holds a BS in accounting from New York University.

#### John Coffey

##### Partner, Boston

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John Coffey is a partner in the transaction

services capital markets group in Boston. Mr Coffey has extensive experience advising clients on capital markets transactions and providing accounting, financial reporting and mergers and acquisitions advisory services to corporate clients in the software, technology and semiconductor industries. He is a certified public accountant and a member of the American Institute of Certified Public Accountants, as well as the Massachusetts Society of CPAs. He holds a BS in accounting from Boston College.

**Shafiq Ahmed**  
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Shafiq Ahmed is a director in the transaction services capital markets group in New York. He advises clients on capital market transactions including initial public offerings, carve-outs, debt offerings, and complex accounting issues related to such transactions. With over seven years' experience working with clients in the real-estate, mining, retail, technology and media sectors, Mr Ahmed has cross-border transaction experience in the United States, Canada and Mexico. He is a Canadian Chartered Accountant and a designated member of the Institute of Chartered Accountants of British Columbia. He holds a master's in accounting from the University of Saskatchewan and a bachelor's degree from Simon Fraser University.

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**Peggy Cohen**

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Peggy Cohen is the managing director and vice president of RR Donnelley's Northeast Region and Canada. Since joining RR Donnelley in 2003, she has been responsible for running a \$95 million capital markets financial printing business. She also manages business development and partner relationship efforts across the northeast and is an active member of the National Investor Relations Institute and the Society of Corporate Secretaries and Governance Professionals. Before joining RR Donnelley, Ms Cohen spent 18 years in the high net-worth industry at leading corporations including The Bankers Trust Company and Citigroup Private Bank.

Ms Cohen has an MBA from New York University and a BS from Syracuse University. A strong advocate for women, she is involved with Women on Wall Street and the Women in Law Empowerment Forum. She is also a member of RR Donnelley's diversity taskforce and an active member of the Financial Women's Association.

**Jeff Levy**  
**Director**

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For the past 20 years Jeff Levy has worked with RR Donnelley in numerous positions within the capital markets group. He played an

instrumental role in creating RR Donnelley's go-to-market strategy for Latin America and now currently directs all targeted sales efforts in Mexico. In the United States, he has spent most of his career focusing on the New York Metropolitan area, with emphasis on providing financial print and virtual dataroom services to many top international law firms. Mr Levy is located at RR Donnelley's Lower Manhattan office, steps away from Wall Street.

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**Daniel H Klausner**

**Co-head of Equity Corporate Finance, Americas**

Daniel H Klausner is co-head of Equity Corporate Finance, Americas at UBS Investment Bank. Equity Corporate Finance provides execution and advisory services for UBS book-managed equity and equity-linked transactions. He is also a member of the UBS Equity Commitment Committee, which evaluates and approves all equity transactions based on due diligence and reputation risk considerations. Mr Klausner joined UBS in 2002 from Morgan Stanley and has substantial equity and general transaction experience in the healthcare, media, telecommunications, industrial, real estate and financial institution sectors. He holds an MBA from the Amos Tuck School at Dartmouth College and a BS in economics from the Wharton School at the University of Pennsylvania.