

## APPLICATION GUIDE: PUBLICLY TRADED SECURITIES

ACTION	RESPONSIBLE PARTY	TIMING	Mail to*:
Submit: Application (signed and dated by company); Listing Agreement (signed and dated by company); and copies of all SEC filings for the past year, including proof of registration under Section 12 of the 1934 Act.	Company or company's counsel	At time of application	A
Submit a completed Corporate Governance Certification Form.	Company or company's counsel	At time of application. Note: This form need not necessarily accompany the company's initial submission.	A
Submit the \$5,000 non-refundable application fee, along with the Entry Fee Payment Form. An estimated entry fee will be calculated by Listing Qualifications upon request.	Company or company's counsel	The \$5,000 non-refundable fee is due at time of the application. The balance of the entry fee is due prior to the commencement of trading.	B The company may wire its fees. Please see instructions below.
Submit Logo Authorization Form and Art.	Company or company's counsel	At time of application	D
Provide additional information.	Company or company's counsel	Upon request by Listing Qualifications	A
Register market makers. Release security for trading.	All market makers	Prior to the commencement of trading	C

**\*ADDRESSES**

- A. The NASDAQ Stock Market LLC, Listing Qualifications, 9600 Blackwell Road, Rockville, MD 20850. Phone: +1 301 978 8008  
Fax: +1 301 978 8069
- B. By Courier/Overnight: The NASDAQ Stock Market LLC, Listing Qualifications, Lockbox 20200, c/o Wachovia Bank N.A., 401 Market Street,  
Philadelphia, PA 19106. Provide company name, symbol, and note that the fees are for initial listing.
- B. By Federal Reserve Wire: The NASDAQ Stock Market LLC, Bank Name: Wachovia Bank, N.A., Bank Address: 12 East 49<sup>th</sup> Street, New York,  
NY 10017, SWIFT Number: PNBUS3NNYC, ABA Number: 031201467, Beneficiary: NASDAQ, Account Number: 2000031405177, Reference:  
GL2100625, company name, symbol, and note that the fees are for initial listing.
- B. By American Clearing House: The NASDAQ Stock Market LLC, Bank Name: Wachovia Bank, N.A., Bank Address: 12 East 49<sup>th</sup> Street, New York,  
NY 10017, SWIFT Number: PNBUS3NNYC, ABA Number: 026012881, Beneficiary: NASDAQ, Account Number: 2000031405177. Reference:  
GL2100625, company name, symbol, and note that the fees are for initial listing.
- C. The NASDAQ Stock Market LLC, Corporate Data Operations, Two Corporate Drive, Suite 300, Shelton, CT 06484. Phone: +1 203 375 9609  
Fax: +1 203 385 6381
- D. The NASDAQ Stock Market LLC, Corporate Logo Library, 9600 Blackwell Road, Rockville, MD 20850. Phone: +1 866 842 5720  
Fax: +1 301 978 8431