

GUIDE TO NOTIFICATIONS

Companies are required to notify The NASDAQ Stock Market® when taking certain actions. The following chart outlines necessary forms and deadlines. Please note that additional supporting documentation may be required.

Guide to Notifications

Company Action	NASDAQ® Notification	Due Date	Send 1 Copy to ¹
Listing of Additional Shares	<i>Notification: Listing of Additional Shares</i>	No later than 15 calendar days prior to the issuance	A
Forward Stock Splits, Stock Dividends and Rights Offerings	<i>Notification: Non-Cash Dividend/Distribution</i>	No later than 10 calendar days prior to record date	B
Reverse Stock Splits	<i>Notification: Substitution Listing Event</i>	No later than 15 calendar days prior to record date	B
Cash Dividends and Other Cash Distributions	<i>Notification: Cash Dividend/Distribution</i>	No later than 10 calendar days prior to record date	B
Change in Number of Shares Outstanding (5% or more)	<i>Notification: Change in the Number of Shares Outstanding</i>	10 calendar days after occurrence	B
Change in Company Name	<i>Notification: Change in Company Record and Listing Agreement</i>	No later than 10 calendar days after the change ²	A/B
Change in Trading Symbol	<i>Notification: Change in Company Record</i>	At least two business days prior to occurrence	B
Change in Title of Security or Par Value	<i>Notification: Change in Company Record</i>	No later than 10 calendar days after the change ²	B
Change in Transfer Agent or Registrar	No form - written notification required	On occurrence	A
Substitution Listing Events ³	<i>Notification: Substitution Listing Event</i>	No later than 15 calendar days prior to the corporate event	B
Change in State of Incorporation or Company's Place of Organization	<i>Notification: Substitution Listing Event</i>	As soon as practicable after implementation of change	B
Mergers	No form - written notification required	Prior to the declaration of the shareholder meeting date	B
Tender Offers	No form - written notification required	As soon as practicable after the launch of the tender offer	B
Redemptions/Extensions of Derivative Securities	No form - written notification required	At least ten business days prior to the change	B
Material Non-Compliance with Corporate Governance Rules	No form - written notification required	At time of occurrence	A

¹ NASDAQ Filing Addresses:

A. The NASDAQ Stock Market LLC
Listing Qualifications
9600 Blackwell Road
Rockville, MD 20850
Phone: +1 301 978 8008

B. The NASDAQ Stock Market LLC
Corporate Data Operations
Two Corporate Drive, Suite 300
Shelton, CT 06484
Phone: +1 203 375 9609

² However, NASDAQ recommends that the submission be made no later than two business days prior to the requested change.

³ Substitution Listing Event is defined as: 1) Reverse stock split; 2) Re-incorporation or a change in the company's place of organization; 3) The formation of a holding company that replaces a listed company; 4) Reclassification or exchange of a company's shares for another security; 5) The listing of a new class of securities in substitution for a previously-listed class of securities; or 6) Any technical change whereby the shareholders of the original company received a share-for-share interest in the new company without any change in their equity position or rights

